

**SKILLS FRAMEWORK FOR SEA TRANSPORT
TECHNICAL SKILLS AND COMPETENCIES (TSC) REFERENCE DOCUMENT**

TSC Category	Risk Management, Governance and Regulatory Compliance					
TSC	Risk Compliance and Governance					
TSC Description	Enforce corporate governance and risk compliance within the organisation through the establishment of policies, compliance programmes and management systems					
TSC Proficiency Description	Level 1	Level 2	Level 3	Level 4	Level 5	Level 6
				STP-ERM-4004-1.1	STP-ERM-5004-1.1	STP-ERM-6004-1.1
				Evaluate and review compliance with applicable legislation and regulations on enterprise risk for the business unit	Establish processes for the management of non-compliance to governance requirements	Endorse the principles of corporate governance and compliance in the organisation
Knowledge				<ul style="list-style-type: none"> Relevant legislation and regulations applicable to the industry Types and sources of information on internal and external compliance requirements Methodologies for internal and external monitoring and evaluation Relevant stakeholders for corporate governance Implications of non-compliance with relevant legislation and regulations 	<ul style="list-style-type: none"> Forms of non-compliance to governance requirements Relevant legislative and regulatory requirements Corporate governance compliance programmes and management systems Relevant stakeholders to seek advice from on the management of non-compliances Appropriate responses to address non-compliances Operational performance indicators of compliance programmes and management systems in identifying non-compliances 	<ul style="list-style-type: none"> Regulatory frameworks, organisation and global leading practices applicable to corporate governance Roles, accountabilities and responsibilities of company directors, functions and committees in corporate governance Processes for operationalising corporate governance policies Corporate governance principles Internal and external corporate governance controls Operational plans for monitoring and internalising corporate governance in the organisation
Abilities				<ul style="list-style-type: none"> Interpret relevant legislation and regulations, legal 	<ul style="list-style-type: none"> Identify legislative and regulatory requirements relevant to the 	<ul style="list-style-type: none"> Establish roles, accountabilities and responsibilities of

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				<p>documents, standards and codes of practice relevant to the business unit to identify possible areas of non-compliance</p> <ul style="list-style-type: none"> • Evaluate and report data on compliance according to information format requirements • Formulate recommendations and obtain sign-offs for addressing areas of non-compliance with legal and other requirements 	<p>organisation's risk and corporate governance</p> <ul style="list-style-type: none"> • Propose and evaluate appropriate compliance programmes and management systems in consultation with management • Identify non-compliances based on relevant legislative and regulatory requirements • Determine appropriate responses to address non-compliances within scope of relevant legislative and regulatory requirements 	<p>company directors, functions and various committees in complying with corporate governance policies</p> <ul style="list-style-type: none"> • Provide guidance in operationalising the organisation's corporate governance policies • Provide feedback to management on overall compliance with corporate governance policies • Evaluate operational plans to monitor and internalise corporate governance in the organisation
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