

Skills Framework for Financial Services

Programmes that broaden or deepen specific skills and knowledge
for the various job roles in the sector

Risk, Compliance and Legal

Job Role:
Compliance Advisory Executive

Full Qualification Programmes	Providers
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Technical Skills and Competencies (TSC)			Modular Programmes	Providers
Category	Title	Proficiency Level		
Business Development and Strategy Management	Change Management	3	Certified Private Banker Level 3 (Team Leader) - Essential Management and Leadership Skills Part 1	Nanyang Technological University, Wealth Management Institute
Risk Management, Governance and Regulatory Compliance	Corporate Governance	3	IBF Standards Operational Risk Management Level 1 Specialist Diploma in Consumer Banking - Business Assurance & CDD (M1, M2, M4)	National University of Singapore - Risk Management Institute Ngee Ann Polytechnic
Risk Management, Governance and Regulatory Compliance	Compliance Mindset Development	3	ICA Advanced Certificate in Governance, Risk & Compliance (IBF Level 1)	International Compliance Training Academy Pte. Ltd.
Data Analytics and Information Technology Management	Data Collection and Analysis	3	Analytics for Non-Analytics Professionals Data-Driven Decision Making IBF Standards Operational Risk Management Level 1 Specialist Diploma in Consumer Banking - Analytics/Decision Science (M2) Data Analysis Fundamentals using Microsoft Excel (IBF)	Accord Business Solutions Pte Ltd Hyper Island Institute of Higher Education Pte Ltd National University of Singapore - Risk Management Institute Ngee Ann Polytechnic NTUC LearningHub Pte Ltd
Business Development and Strategy Management	Ethical Culture	3	CFA Singapore Fund Management Programme (IBF Level 1) CFA Singapore Level 1 Preparatory Programme (IBF Level 1) ICA Advanced Certificate in Governance, Risk & Compliance (IBF Level 1) Certified Private Banker Level 1 - Ethical Conduct IBF Standards Operational Risk Management Level 1 SGX CSP Module 1: Financial Markets, Regulations, Ethics & Risk Management AWMP Programme III - Wealth Advisory (IBF Level 1)	CFA Society Singapore CFA Society Singapore International Compliance Training Academy Pte. Ltd. Nanyang Technological University, Wealth Management Institute National University of Singapore - Risk Management Institute Singapore Exchange Limited SMU Lee Kong Chian School of Business
Risk Management, Governance and Regulatory Compliance	Regulatory and Legal Advisory	3	ICA Advanced Certificate in Governance, Risk & Compliance (IBF Level 1) Certificate in Commercial Banking for Technology and Operations SMU Certificate in IT Risk, Governance & Security (IBF Level 1) ACI-SMU Executive Certificate in Financial Markets Operations (IBF Level 1)	International Compliance Training Academy Pte. Ltd. Ngee Ann Polytechnic SMU Academy SMU Academy – Financial Services
Risk Management, Governance and Regulatory Compliance	Regulatory Compliance	3	ICA Advanced Certificate in Governance, Risk & Compliance (IBF Level 1) Specialist Diploma in Consumer Banking - Business Assurance & CDD (M1, M2, M4) SMU PROFESSIONAL CERTIFICATE IN GOVERNANCE, IT RISK MANAGEMENT AND COMPLIANCE (IBF LEVEL 2)	International Compliance Training Academy Pte. Ltd. Ngee Ann Polytechnic SMU Academy
Risk Management, Governance and Regulatory Compliance	Risk and Compliance Reporting	3	ICA Advanced Certificate in Governance, Risk & Compliance (IBF Level 1)	International Compliance Training Academy Pte. Ltd.
Risk Management, Governance and Regulatory Compliance	Risk Management	3	ICA Advanced Certificate in Governance, Risk & Compliance (IBF Level 1) WMI Certificate in Trust Services (IBF Level 1) Certificate in Commercial Banking for Technology and Operations SGX CSP Module 1: Financial Markets, Regulations, Ethics & Risk Management SMU Certificate in Enterprise Essentials (IBF Level 1) ACI-SMU Executive Certificate in Financial Markets Operations (IBF Level 1) ACI-SMU Financial Markets Certificate - Module 2: Trading & Treasury Sales (IBF Level 1) AWMP Programme II - Investment Advisory (IBF Level 2)	International Compliance Training Academy Pte. Ltd. Nanyang Technological University, Wealth Management Institute Ngee Ann Polytechnic Singapore Exchange Limited SMU Academy SMU Academy – Financial Services SMU Academy – Financial Services SMU Lee Kong Chian School of Business

Customer Relationship and Stakeholder Management	Stakeholder Management	3	Certified Private Banker Level 1 - Market Specialisation (Elective)	Nanyang Technological University, Wealth Management Institute
			Private Banking Certification Level 1: Client Relationship Management	SMU Academy
			Private Banking Certification Level 1: Marketing Specialization in the Chinese Market	SMU Academy

Please click [here](#) to view the Generic Skills and Competencies (GSCs) programme listing.

Please visit <https://www.ibf.org.sg> for the latest list of IBF programmes aligned to the Skills Framework for Financial Services.