

## Skills Framework for Financial Services

Programmes that broaden or deepen specific skills and knowledge  
for the various job roles in the sector

### Operations

**Job Role:**  
Operations Risk and Control Analyst

Full Qualification Programmes	Providers
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Technical Skills and Competencies (TSC)			Modular Programmes	Providers
Category	Title	Proficiency Level		
Risk Management, Governance and Regulatory Compliance	Business Continuity Planning	3	IBF Standards Operational Risk Management Level 1	National University of Singapore - Risk Management Institute
Risk Management, Governance and Regulatory Compliance	Business Risk Assessment	3	IBF Standards Operational Risk Management Level 1	National University of Singapore - Risk Management Institute
Business Development and Strategy Management	Disruption Management	4	AWMP Programme II - Investment Advisory ( IBF Level 1)	SMU Lee Kong Chian School of Business
Business Development and Strategy Management	Ethical Culture	3	CFA Singapore Fund Management Programme (IBF Level 1)	CFA Society Singapore
			CFA Singapore Level 1 Preparatory Programme (IBF Level 1)	CFA Society Singapore
			ICA Advanced Certificate in Governance, Risk & Compliance (IBF Level 1)	International Compliance Training Academy Pte. Ltd.
			Certified Private Banker Level 1 - Ethical Conduct	Nanyang Technological University, Wealth Management Institute
			IBF Standards Operational Risk Management Level 1	National University of Singapore - Risk Management Institute
			SGX CSP Module 1: Financial Markets, Regulations, Ethics & Risk Management	Singapore Exchange Limited
			AWMP Programme III - Wealth Advisory ( IBF Level 1)	SMU Lee Kong Chian School of Business
Risk Management, Governance and Regulatory Compliance	Fraud Risk Management	3	Specialist Diploma in Consumer Banking - Business Assurance & CDD (M1, M2, M4)	Ngee Ann Polytechnic
Risk Management, Governance and Regulatory Compliance	Monitoring and Surveillance	3	ICA Advanced Certificate in Governance, Risk & Compliance (IBF Level 1)	International Compliance Training Academy Pte. Ltd.
			Certificate in Commercial Banking for Technology and Operations	Ngee Ann Polytechnic
			SMU Certificate in IT Risk, Governance & Security (IBF Level 1)	SMU Academy
			ACI-SMU Executive Certificate in Financial Markets Operations (IBF Level 1)	SMU Academy – Financial Services
Risk Management, Governance and Regulatory Compliance	Operational Risk Management	3	IBF Standards Operational Risk Management Level 1	National University of Singapore - Risk Management Institute
			Specialist Diploma in Consumer Banking - Business Assurance & CDD (M1, M2, M4)	Ngee Ann Polytechnic
			SGX CSP Module 1: Financial Markets, Regulations, Ethics & Risk Management	Singapore Exchange Limited
Risk Management, Governance and Regulatory Compliance	Regulatory and Legal Advisory	3	ICA Advanced Certificate in Governance, Risk & Compliance (IBF Level 1)	International Compliance Training Academy Pte. Ltd.
			Certificate in Commercial Banking for Technology and Operations	Ngee Ann Polytechnic
			SMU Certificate in IT Risk, Governance & Security (IBF Level 1)	SMU Academy
			ACI-SMU Executive Certificate in Financial Markets Operations (IBF Level 1)	SMU Academy – Financial Services
Risk Management, Governance and Regulatory Compliance	Regulatory Compliance	3	ICA Advanced Certificate in Governance, Risk & Compliance (IBF Level 1)	International Compliance Training Academy Pte. Ltd.
			Specialist Diploma in Consumer Banking - Business Assurance & CDD (M1, M2, M4)	Ngee Ann Polytechnic
			SMU PROFESSIONAL CERTIFICATE IN GOVERNANCE, IT RISK MANAGEMENT AND COMPLIANCE (IBF LEVEL 2)	SMU Academy
Risk Management, Governance and Regulatory Compliance	Regulatory Risk Assessment	3	ICA Advanced Certificate in Governance, Risk & Compliance (IBF Level 1)	International Compliance Training Academy Pte. Ltd.
			SMU PROFESSIONAL CERTIFICATE IN GOVERNANCE, IT RISK MANAGEMENT AND COMPLIANCE (IBF LEVEL 2)	SMU Academy
			ICA Advanced Certificate in Governance, Risk & Compliance (IBF Level 1)	International Compliance Training Academy Pte. Ltd.
			WMI Certificate in Trust Services (IBF Level 1)	Nanyang Technological University, Wealth Management Institute

Risk Management, Governance and Regulatory Compliance	Risk Management	3	Certificate in Commercial Banking for Technology and Operations	Ngee Ann Polytechnic
			SGX CSP Module 1: Financial Markets, Regulations, Ethics & Risk Management	Singapore Exchange Limited
			SMU Certificate in Enterprise Essentials (IBF Level 1)	SMU Academy
			ACI-SMU Executive Certificate in Financial Markets Operations (IBF Level 1)	SMU Academy – Financial Services
			ACI-SMU Financial Markets Certificate - Module 2: Trading & Treasury Sales (IBF Level 1)	SMU Academy – Financial Services
AWMP Programme II - Investment Advisory ( IBF Level 2)	SMU Lee Kong Chian School of Business			
Risk Management, Governance and Regulatory Compliance	Security Governance	4	Certificate in Commercial Banking for Technology and Operations	Ngee Ann Polytechnic
			SMU Certificate in IT Risk, Governance & Security (IBF Level 1)	SMU Academy
			ACI-SMU Executive Certificate in Financial Markets Operations (IBF Level 1)	SMU Academy – Financial Services

Please click [here](#) to view the Generic Skills and Competencies (GSCs) programme listing.

Please visit <https://www.ibf.org.sg> for the latest list of IBF programmes aligned to the Skills Framework for Financial Services.